Ultrafine Grained ... Nanostructured Materials

Journal of Ultrafine Grained and Nanostructured Materials https://jufgnsm.ut.ac.ir Vol. 54, No.2, December 2021, pp. 219-227 Print ISSN: 2423-6845 Online ISSN: 2423-6837 DOI: 10.22059/jufgnsm.2021.02.11

# Effects of structure design on electrostatic pull-in voltage of perforated nanoswitch with intermolecular surface forces

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#### ABSTRACT

In this paper, we model and investigate the electrostatic pull-in instability of a perforated cantilever nanoswitch subjected to van der Waals and Casimir forces. The nanocantilever is a beam structure perforated with a periodic square holes network, which has been considered as an electrode material for this new structure. Closed-form solutions for the critical pull-in parameters are derived from the standard deformation beam equation, in which an equivalent bending stiffness is considered due to presence of square holes network. The electrostatic and dispersion forces are included by modifying the standard deformation beam equation, while the small scale effect is introduced by using the Eringen's nonlocal elasticity theory. Pull-in parameters analysis of the perforated nanoswitch indicated that both pull-in voltage and pull-in deflection are affected by the gap ratio as well as the hole size ratio and the number of holes along the section of perforated nanocantilever beam. Therefore, these results are compared with literature results where new remarks are deduced and presented with detailed discussion for a proper design and investigation of M/NEMS nanoswitches.

Keywords: Cantilever nanoswitch, Pull-in instability, Periodic square holes, Equivalent parameters, Nonlocal parameter

# **1. INTRODUCTION**

Nanobeam structures have been widely used as common mechanical components based electrostatic nanoswitch devices integrated in micro/nanoelectromechanical systems (M/NEMS) [1-6] for switching applications with reduced size and low cost. A typical electrostatic nanoswitch is a fundamental building block composed of two parallel nanobeams, one is fixed and the other is movable, which can be actuated electrically and a dielectric spacer separating the two electrodes, the movable electrode deflects towards the ground electrode due to the electrostatic force and intermolecular interactions. When the voltage increases above a critical value, the cantilever beam becomes unstable and pulls-in onto the fixed electrode. Such as this phenomenon is called "the pull-in instability" that plays an important role for the design step of electrostatic nanoswitch devices [7-11].

Several researches have focused on the electromechanical interaction modeling to estimate the pull-in parameters for electrostatic nanoswitch, in which various mechanical deflection models have been proposed by authors. Osterberg and Senturia [12] found an analytical expression for the determination of pull-in parameters of MEMS switches based on the classical elasticity theory of material. Bochobza-Degani and Nemirovsky [13] presented a lumped two degrees of freedom model

for a direct calculation of the pull-in parameters under electrostatic actuators actuation. Also, the reduction of the separation between the components of cantilever switch was studied in refs. [14-16]. However, when the separation is below 20 nm, the van der Waals force is operational and thus should be considered in the deformation beam equation as an additive term. A theoretical study was proposed by Ramezani et al. [7] to investigate the effect of the van der Waals force on the pull-in parameters of nanoswitches where noticeable conclusions were deduced from numerical results. On the other hand, the Casimir force effect can affect the electromechanical nanoswitch behavior at separation above 20 nm [11], and again an additive term should be introduced in the deformation beam equation for a proper numerical computation. Including the Casimir effect on the electromechanical nanoswitch model, a computation of the pullin gap and pull-in voltage of NEMS switch was carried out by Lin and Zhao to inversitage the Casimir force effect on the linear [3, 4] and nonlinear [5] behavior of a nanoscale electrostatic actuator.

Nevertheless, according to the experimental verifications and numerical simulations [17-18], the evaluation of the electromechanical behavior using the classical elasticity theory remains unable due to the presence of the small scale effects at nanometric dimensions [19]. Unlike the classical elasticity theory, Eringin's nonlocal elasticity theory of material [20-24] is a scale dependent theory accounts the small scale effects. Using this theory, several studies [25- 32] have investigated the small scale effect on the pull-in instability of nanoswitches.

Nowadays, perforation is a geometric procedure widely used in advanced technologies to develop sensitive structures especially for optomechanics and photonics [33-39]. Despite the crucial role of perforation in the current technologies, the perforated nanostructures behavior has not been analyzed as extensively as full nanostructures behavior but only for particular cases due to the problem complication. Sharpe et al. [40] investigated the holes size effect on the mechanical characteristics of polysilicon thin film, and they confirmed that the Young's modulus value decrease of 12% and the strength of the holed specimens drops by 50%. Rabinovich et al. [41] investigated the holes size effect on Young's modulus and shear modulus by studying the electromechanical behavior of a perforated beam structure. Their results showed that Young's modulus and shear modulus are directly affected by holes size with a decrease of 24% and 30% respectively. Luschi and Pieri [42, 43] calculated the equivalent bending stiffness and shear stiffness for perforated structures with periodic square holes network. However, the influence of the periodic square holes network on the pull-in instability of perforated cantilever nanoswitches has not been addressed.

In this work, the influence of a periodic square holes network on the pull-in instability of perforated cantilever nanoswitches under electrostatic and intermolecular forces is studied for varying gap ratio, numbers and sizes of holes using a linear distributed load (LDL) to develop closed-form solutions. The paper is organized as follows: in section 2, theoretical formulation based on nonlocal elasticity is presented. In section 3, closed-form solutions for the critical pull-in parameters are derived from the standard deformation beam equation. Pull-in voltage and deflection are analyzed with numerical calculations and detailed discussions in section 4. Concluding remarks of work are given in section 5.

#### 2. PROBLEM FORMULATION

In this section, the perforated nanobeam will be analyzed in order to investigate the pullin parameters of the cantilever nanoswitch, we consider a nanobeam of length *L*, width *w* and thickness *h*, with periodic square holes network of spatial period  $s_p$  and size of hole  $d_h$ . We also define *N* as the number of holes along the section, and  $(\gamma = d_h / s_p)$  as the hole size ratio which can range from 0 (full beam) to 1 [33, 35]. Figure 1 presents the geometry of perforated cantilever nanoswitch structure with periodic square holes network proposed in this paper.

According to Eringen's nonlocal theory [22, 23], the stress–strain relationship for the nanobeam can be given as:

$$\sigma(x) - \mu^2 \frac{d^2 \sigma(x)}{dx^2} = E\varepsilon(x) \tag{1}$$

Where  $\sigma$  and  $\varepsilon$  are stress and strain, respectively, *E* is Young's modulus of the beam and  $\mu = \mu^* L$  is nonlocal parameter revealing the small-scale effect with  $\mu^* \epsilon[0, 0.2]$  as indicated in ref.[52].

The equilibrium of forces in the vertical direction and moments of the beam is given by:



Fig. 1- (a): Schematic representation of a perforated cantilever switch; (b): Part of the total length of the beam is cut away for more clarity.

$$\frac{dQ}{dx} = -F(x), Q = \frac{dM}{dx}$$
(2)

Where  $F = F_{elec} + F_n$  (n=3, 4) is the distributed lateral load per unit length, Q is the transverse shear force and M is the bending moment.

From equation (3) and equation (1) the nonlocal constitutive can be given as

$$M(x) - \mu^2 \frac{d^2 M}{dx^2} = -EI_{eq} \frac{d^2 y}{dx^2}$$
(3)

It can be derived from the equations (2) and (3) that the governing equation for the electrostatic deformation of the nano-beam is [20-24].

$$EI_{eq} \frac{d^4 y}{dx^4} = F - \mu^2 \frac{d^2 F}{dx^2}$$
(4)

Where y is the deflection the beam, x the position along the beam, and is the  $EI_{eq}$  is the equivalent bending moment rigidity of the beam.

Considering the first order fringing field effect, the electrostatic force per unit length of the beam [7, 43, 44] is given by:

$$F_{elec} = \frac{\varepsilon_0 w V^2}{2(g-y)^2} (1 + 0.65 \frac{(g-y)}{w})$$
(5)

where  $\varepsilon_0 = 8.854 \times 10^{-12} \text{ C}^2 \text{ N}^{-1} \text{m}^{-2}$  is the permittivity of vacuum, *V* is the applied voltage, *w* is the beam width and *g* is the initial gap between the movable and the ground electrode.

The van der Walls force per unit length of the beam is [15].

$$F_3 = \frac{Aw}{6\pi(g-y)^3} \tag{6}$$

where A is the Hamaker constant.

The casimir force per unit length of the beam is [16].

$$F_4 = \frac{\pi^2 h c w}{240(g - y)^4}$$
(7)

where  $h=1.055 \times 10^{-34}$  Js is Planck's constant divided by  $2\pi$  and  $c=2.998 \times 10^8$  ms<sup>-1</sup> is the speed of light.

To facilitate formulation of the model, the nondimensional form is introduced

$$u = {}^{\mathcal{Y}}/g, \ \rho = {}^{\mathcal{X}}/L \tag{8}$$

The following dimensionless equation is obtained

$$F(\rho) - \mu^2 \frac{d^2 F(\rho)}{d\rho^2} \tag{9}$$

where

$$F(\rho) = \frac{R_n}{(1 - u(\rho))^n} + \frac{\beta}{(1 - u(\rho))^2} + f \frac{\beta}{(1 - u(\rho))}$$
(10)

The index n is 3 for the van der Waals force and 4 for the Casimir effect.

$$R_{3} = \frac{AwL^{4}}{6\pi g^{4} E I_{eq}}$$

$$R_{4} = \frac{\pi^{2} h c wL^{4}}{240 g^{5} E I_{eq}}$$

$$\beta = \frac{\varepsilon_{0} wV^{2} L^{4}}{2 g^{3} E I_{eq}}, f = 0.65 \frac{g}{w}$$
(11)

Luschi and Pieri in [42] determined the analytical expressions of equivalent bending stiffness  $EI_{eq}$  as function of number of holes N and the filling ratio  $\alpha$ . To this purpose, we define the geometric parameters of the beam.

$$\alpha = 1 - \gamma \tag{12}$$

The analytical expression of  $EI_{eq}$  has been determined by using Eq. (13). (13)

$$EI_{eq} = \frac{EI_{eb}(N+1)\alpha(N^{2}+2N+\alpha^{2})}{(1-\alpha^{2}+\alpha^{3})N^{3}+3\alpha N^{2}+(3+2\alpha-3\alpha^{2}+\alpha^{3})\alpha^{2}N+\alpha^{3}}$$

where *E* is the Young's modulus, and  $I_{eb}$ , the moment of inertia corresponding to a full beam.

## 3. ELECTROSTATIC PERFORATED CANTILE-VER NANOSWITCH

For perforated cantilever switch under electrostatic force, the lateral load  $F(\rho)$  is approximated by:

$$F = F_{\rm T} \rho \tag{14}$$

Replacing this approximation in Eq. (9), we obtain:

$$\frac{d^4u}{d\rho^4} - F_{\rm T}\rho = 0 \tag{15}$$

The associated boundary conditions at the fixed end ( $\rho = 0$ ) are:

$$u(0) = \frac{du(0)}{d\rho} = 0$$
 (16)

and at the free end ( $\rho = 1$ ), we obtain the deflection curve as:

$$u = \frac{F_{\tau}\rho^{5}}{120} - \frac{F_{\tau}(1-2\mu^{2})\rho^{3}}{12} + \frac{F_{\tau}\rho^{2}}{6} = 0$$
(17)

Where the tip deflection  $u_T = u(1)$ 

$$u_{T} = \frac{F_{T}(11 - 20\mu^{2})}{120} = 0$$
(18)

From equation (10),

$$F_{T} = \frac{R_{n}}{(1 - u_{T})^{n}} + \frac{\beta}{(1 - u_{T})^{2}} + f\frac{\beta}{(1 - u_{T})}$$
(19)

We obtain relationship between  $\beta$  and  $u_r$ 

$$\beta = \frac{(11 - 20\mu^2) (1 - u_T)^n}{\left(1 - u_T\right)^2} - \frac{f}{1 - u_T}$$
(20)

By deriving  $d\beta/du_T = 0$  to obtain  $\beta_{p_I}$  the pull-in voltage  $V_{p_i}$  of perforated cantilever nanoswitch can be obtained as follow

$$V_{PI} = \left(\frac{2g^{3}EI_{eq}B_{PI}}{\varepsilon_{0}L^{3}Sa}\right)^{1/2}$$
(21)

With  $EI_{eq}$ , equivalent bending stiffness and Sa is active surface of a perforated nanobeam.

#### 4. FABRICATION PLAN FOR NEMS

The proposed cantilever nanoswitch with embedded contact electrodes can be fabricated using bulk-silicon techniques based on the silicon anodic bonding to form and pattern the mechanical and actuation structures. The fabrication process is summarized as follow:

First, a silicon wafer with a polished surface can be patterned and etched to a depth of 3.5nm. Next, another wafer can be etched to form the dimple for the contact electrode. Then, a SiO<sub>2</sub> insulating layer can be deposited on the silicon device layer and the unexposed region of the SiO<sub>2</sub> layer etched to a depth already determined. Then, the metal layer can be sputtered and patterned by a lift-of process, the SiO<sub>2</sub> layer can be etched to this depth to remove the unexposed region. Next, the same metal layer as in previous step can be sputtered to make the electrodes and leads. After this step, the silicon layer can be anodically bonded to the substrate. Finally, the device layer can be etched by ICP (Inductively Coupled Plasma) to release the nanoswitch structures.

### 5. RESULTS AND DISCUSSIONS

We investigate the effect of dispersion (van der Waals and Casimir) forces on the pull-in instability of perforated cantilever nanoswitch with length L=200 nm, width w=18 nm and thickness h=3.5 nm.Young modulus  $E_0=166$  GPa and the values of nonlocal parameter  $\mu$  are 0, 0.01 and 0.05. The value of equivalent parameter  $EI_{eq}$  is calculated as a function of number of holes *N* and filling ratio  $\alpha = 1 - \gamma$  by using the analytical expression given in equation (13). In order to validate our results, we compared our model with the LDL model used by Yang et al. [19], the lamped model used by Lin and Zhao [3, 4] and the distributed parameter model used by Ramezani et al. [7].

As can be seen from table 1, the values of pullin voltage increase with the gap ratio g/w from the minimum value fixed at g/w=0.9 to maximum value of voltage  $V_{pi}$  located at g/w=1.2. As can be observed, the pull-in voltage of a perforated cantilever switch gives good results with respect to the conventional structure. In addition, we found that our results were remarkably similar those predicted by Yang et al. in ref [19]; Ramezani et al. in ref [7] and Lin and Zhao in ref [4]. The nonlocal effect is not considered in this state. Also, an increase of pull-in voltage for results including small scale effect compared with results excluding

Table 1- Comparison of pull-in voltages (in V) with varying g/w for full and perforated switch ( $\gamma$  = 0.9,  $\mu$  = 0.00 and N = 10)

	van der Waals force					Casimir force			
g/w	[3]	[7]	[19]	Present		[4]	[7]	[19]	Present
0.9	0.8944	1.2329	1.1369	0.6776		0.5015	0.6428	0.6019	0.1449
1.0	1.1705	1.5309	1.4289	0.8089		0.5993	0.7579	0.7120	0.6220
1.1	1.4162	1.8093	1.6981	0.9501		0.6955	0.8728	0.8217	0.9062
1.2	1.6472	2.0785	1.9564	1.1027		0.7915	0.9884	0.9318	1.1632



Fig. 2- Effect of gap ratio g/w on the pull-in voltage of perforated cantilever nanoswitch under (a) van der Waals force (b) Casimir force.



Fig. 3- Effect of hole size ratio y on the pull-in voltage of perforated cantilever nanoswitch under (a) van der Waals force (b) Casimir force.

this effect is showed over the whole range of g/w. This confirm that the small scale effect increase the value of pull-in voltage, as shown in figure 2. These results confirm those presented in the work of Yang et al. [19] as well as those reported in refs [5, 18, 29, 30].

The pull-in voltage is plotted as a function of hole size ratio  $\gamma$  for value of gap ratio g/w=0.9 in figure 3. As can be seen, the pull-in voltage increases by increasing the value of  $\gamma$ , this due to the importance of the equivalent bending moment effect which decrease with  $\gamma$ . The change of pull-in voltage is noticed clearly compared the voltage of full-switch ( $\gamma$ =0) over the whole range of the section of nanocantilever beam.

Figure 4 shows the evolution of pull-in voltage as function of the number of holes *N* considering

the intermolecular force. A decrease in the pull-in voltage by increasing the number of holes N for different values of the nonlocal parameter  $\mu$  due to the decrease of equivalent bending stiffness  $EI_{eq}$  which play a significant role when pull-in voltage occurs.

The results show that the pull-in voltage of perforated cantilever switch is more sensitive to the intermolecular force in the presence of Casimir force compared to the van der Waal force as indicated in Refs. [4, 5, 7, 19, 42-46, 50-51].

The variation of deflection is shown in figure 5 as function of  $\gamma$  including the small scale effect, it can be seen that the hole size ratio and gap ratio increase the cantilever pull-in deflection, this due to the reduction of active surface and the role of the equivalent bending moment effect.

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Reference Actuation voltage (V) Switching time ( µs) 20,000-50,000 [49] 20 [47] 40 230 [48] <8 <75 This research 5 <30 4 (b) (a) μ<sup>2</sup>=0.00; γ=0.9 ι<sup>2</sup>=0.00; γ=0.9 3.5 μ<sup>2</sup>=0.01; γ=0.9 u<sup>2</sup>=0.01; γ=0.9 3.5 μ<sup>2</sup>=0.05; γ=0.9 μ<sup>2</sup>=0.05; γ=0.9 Vpi (V) Vpi (3) 3 3 2.5 2.5 2 2 6 2 4 8 10 2 6 8 10 4 Number of holes (N) Number of holes (N)

Table 2- Comparison of the performance for NEMS switch reported in literature

Fig. 4- Effect of number of holes N on the pull-in voltage of perforated cantilever nanoswitch under (a) van der Waals force (b) Casimir force.



Fig. 5- Effect of hole size ratio y on the pull-in deflection of perforated cantilever nanoswitch.

Comparing figure 4 and figure 5 reveals that the pull-in deflection is less sensitive to the hole size ratio and number of holes in comparison with the pull-in voltage.

### 6. Comparison of the Performance

Switching-on time is determined by the duration from a time when the pull-in voltage of the cantilever nano switch attained to the time when the state of the structure changed to be ON, as indicated in figure 6 which shows the result of the switching-on time of the proposed cantilever perforated nanoswitch.

As shown in figure 6, the switching-on time of the proposed structure is about 25  $\mu$ S. During the pull-in process, when the actuation voltage attains to 6V (the pull-in voltage), the driving of cantilever

begins to bend to the contact electrode. After 25  $\mu S$ , the circuit gets connected, and the voltage at both ends of the load reaches 5 V.

We observe that the operation speed of our device is faster than a conventional structure and the power consumption is lower than other devices and can rapidly switch between on and off states as indicated in table 2.

A comparison of the performance for NEMS switch reported in literature and in this research is done in Table 2 below.

From this table, we can conclude that the proposed NEMS in this research gives a lower actuation voltage (5 V) than the NEMS switch reported in the literature.

The actuation voltage and switching time performance show a certain degree of advantage.



Fig. 6- Simulation of switching-on time of the proposed NEMS switch.

## 7. CONCLUSION

In this paper, the pull-in instability of a perforated cantilever nanoswitch with periodic square holes network has been studied in the presence of electrostatic and intermolecular forces. The deformation beam equation has been modified to include the electrostatic and intermolecular forces. The small scale effect is introduced by using the Eringen's nonlocal elasticity theory. LDL model has been applied to derive closed-form solutions for the critical pull-in parameters. The obtained results conclude that both hole size ratio y and number of holes N reduce the pull-in voltage and thus perforated cantilever nanoswitches ( $\gamma \neq 0$ ) are more sensitive to the dispersion force compared by full cantilever nanoswitches ( $\gamma = 0$ ). It is found that the minimum initial gap rests insensitive to the nonlocal parameter while the pull-in parameters is increased when increasing its value which means that the small scale effect should be considered in the device design step. In addition, the perforated structure exhibits a good convergence when the analyzed number N is about ten holes or more per section. Therefore, the pull-in performance of cantilever nanoswitch under electrostatic and intermolecular forces can be modified geometrically by perforation procedure for a proper design with high sensitivity with respect to the conventional structure.

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