



## Development of Valve Steel containing of a Blend of Hard Phases for High-Temperature Applications

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### ABSTRACT

A steel alloy was developed containing 0.36 wt % Carbon coexisting with 9.15 wt % chrome, 2.44 wt % silicon and 0.986 wt % molybdenum. Multi-directional hot forging was implemented on 5 successive steps, for grains refinement with homogeneously distributed embedded Cr/Mo carbides. Forging at the 1<sup>st</sup> step was carried out at 1150 °C and finished at 1000 °C, while the final 5<sup>th</sup> deformation step was finished at 890 °C, where the cross-section area reduction was reaching to 64.03 %. The Z-parameter was then evaluated for each forging step. It is found that the Z-parameter increases continuously, which is resulting in successive decrease of expected  $\gamma$ -grain size, and consequently the generated microstructure is subjected to repeated grain refinements. The forged steel rods were then heat treated at 930 °C and soaked for 15 min. and followed by a salt bath 1<sup>st</sup> quenching at 400 °C for 15 min. And followed by oil (2<sup>nd</sup>) quenching. After the 2<sup>nd</sup> quenching cycles, the generated microstructure contains bainite islands interspersing across freckled ferrite matrix with scattered Cr/Mo carbides. The generated bainite is featured with a low carbon content. Thermodynamically, Cr/ Mo carbides are the most stable carbides within the working temperature range. The bainitic aggregates are found surrounded by thin retained austenite films. Quenching in water after salt bath treatment creates platelet late martensite integrated with the widespread Cr/Mo carbides, that existing between the ferrite matrix grains.

**Keywords:** Valve steel, Multi-directional hot forging, Cr-Mo carbides, Bainite generation, Retained austenite, Late martensite. Salt bath, Oil and water quenching.

### 1. Introduction

Steel alloys for hot temperature service contains considerable amounts of Mo, where Mo suppresses the carbide coagulation at the hot temperature environment for a long time. Improper alloying with Mo leads to decay of the steel by coagulated carbide formations at the grain boundaries and triple points, accelerating the creep rate [1]. Coagulated carbide formations are considered as an easy path for micro-cracks initiation during the hot temperature working conditions due to a combination of mechanical and thermal fatigue

[2]. Micro-cracks would further lead to formation of fatigue failure at stresses below the yield point of the material [3]. On the other hand, Cr alloying provides high oxidation resistance and raises alloy hardening ability, while Si besides Cr are working together to secure a ferrite phase matrix at room temperature and up to Ac1 temperature [4].

Thermodynamic calculations and phase thermal analysis on the hot temperature service steel containing Cr and Mo ensured formation of strong-stable carbides containing  $\text{Cr}_{23}\text{C}_6$  and  $\text{Mo}_2\text{C}$  at 350 °C as a working temperature.

Thermodynamically,  $\text{Cr}_{23}\text{C}_6$  shows the most negative Gibbs free energy change of formation (-ve  $\Delta G_0$ ), indicating the high stability among the others [4-6]. A Finite Element simulation explained that carbides could be precipitated in the form of band, net, random and cluster structures, where cracks propagate in the net-like carbide following the carbide network [7,8].

On heating valve steel to a temperature higher than  $A_{c1}$  during forging, a portion of the ferrite matrix is transformed to austenite phase and the cluster carbides are then dissolute, where some carbon transfer to austenite and carbides become thinner with smaller sizes [4]. A fracture surface investigation of a failed exhaust valve showed ferrite facets matrix coexisting with embedded cluster carbides causing a thermal yielding [1, 2].

High strength of valve steel, in the hot temperature environment needs for strong and stable carbide forming elements like Cr and Mo besides Carbon [9, 10]. Fig. 1 presents the free energy for carbides formation. It is clear that  $\text{Cr}_{23}\text{C}_6$  is the most stable carbide during the whole working temperature range (up to 350 °C). Furthermore,  $\text{Mo}_2\text{C}$  still stable at temperatures near 700 °C [4, 6]. Furthermore, Mo plays another essential role that serve in suppressing the carbides coagulation in the valve alloy during working in the hot temperature environment. Consequently, Mo deficiency in the alloy content would result in a decay of mechanical properties [9]. i.e. alloying with Cr and Mo is essential for strength stability during working in hot environment.

In valve steel for hot working service, a post heat treatment process is essential for generation of hard-stable phases like martensite and/or bainite. Salt baths are appropriate for quenching from the austenitizing temperature to hard phase start

temperature [11]. Isothermal holding time at the phase start temperature is a must, especially for bainite phase generation [12], while creation of martensite does not need for the holding time at the salt bath. Recently, investigations have been executed for the nature of bainite phase generation and detection of accompanied phases in different alloys [13]. It is found that a steel alloy held in a salt bath at 420 °C, bainite aggregates has been generated and integrated with some martensite/retained austenite phases after cooling to the room temperature [13]. The bainitic aggregates are composed of bainitic ferrite laths and retained austenite films [12, 13]. Retained austenite is originating from a residual austenite that is untransformed into bainite at the end of isothermal holding but partially transforms during subsequent cooling into ferrite and late martensite because of its low thermal stability [13].

A schematic presentation of the microstructure changes during austenitizing [14], before hot forging processes, is demonstrated in Fig. 2. Heating continues to above the critical temperatures ( $A_{c1}$  and  $A_{c3}$ ), where the as cast microstructure exhibits coarse ferrite grains due to slow rate of cooling during solidification in the sand mold [9]. Furthermore, majority of carbides are precipitated as clusters scattered and integrated with the ferrite grains [9]. On heating to  $A_{c1}$  temperature, the ferrite ( $\alpha$ ) phase starts to transform gradually to the austenite ( $\gamma$ ) forming a blend of  $\alpha+\gamma$  phases. Further increase of the heating temperature up to  $A_{c3}$ , the matrix is transformed completely to austenite ( $\gamma$ ) phase [4] and the scattered cluster carbides are dissolute to reach a finer size [9]. The  $A_{c1}$  temperature was calculated by the software (JMatPro) as 873 °C while the  $A_{c3}$  was calculated as 914 °C [4].

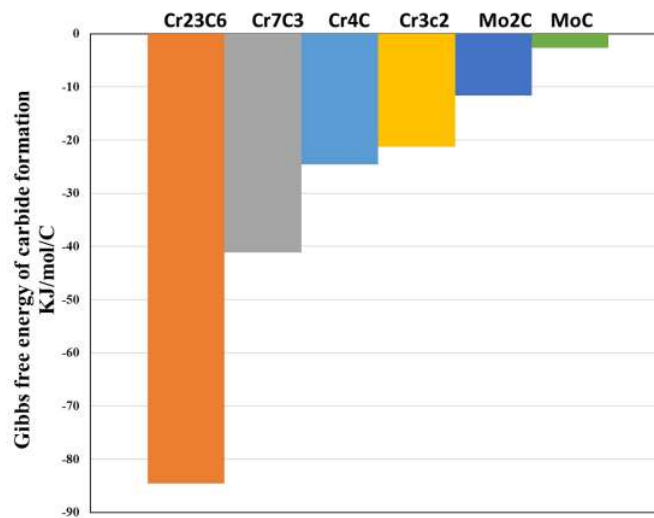


Fig. 1- Gibbs free energy for Cr and Mo carbides stability or formation at 350 °C [4, 6].

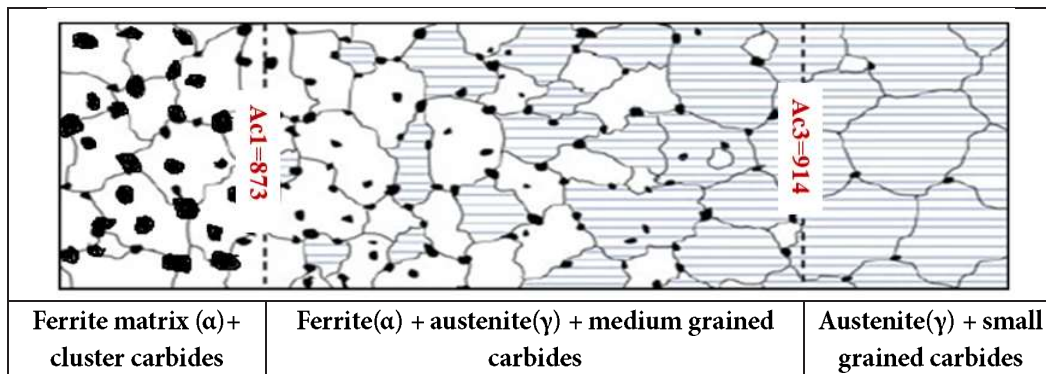


Fig. 2- A schematic presentation of microstructure changes during austenitizing, before hot forging processes [14].

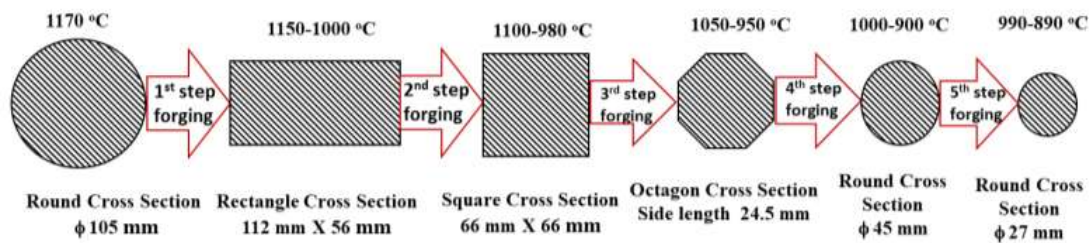


Fig. 3- Demonstration of 5-step hot forging cycles.

## 2. Experimental Work

A steel alloy was developed containing 0.36 wt % Carbon coexisting with 9.15 wt % chrome, 2.44 wt % silicon and 0.986 wt % molybdenum. Melting and casting details and microstructure investigation of the cast alloys were published through the Metal Forming conference 2024, Materials Research Forum LLC [9].

The as-cast steel ingots were subjected to 5-step hot forging cycles, as demonstrated in Fig. 3. including changes in dimensional cross-sectional shapes, start and finish temperature of each step.

The forging process was starting by heating for austenitizing the ingots to 1200 °C and followed by holding for 8 min to secure fully austenite phase having and dissolution of carbides before forging, Fig. 4. At the 1<sup>st</sup> step, the 105 mm round diameter ingots were deformed by 27.5% area reduction forming rectangles with cross sections 112x56 mm<sup>2</sup>. At the 2<sup>nd</sup> step, the rectangle cross sections were forged to squares with 66 mm edges subjecting to 30.55% area reduction. At the 3<sup>rd</sup> step the squares are deformed into octagons with side lengths 24.5 mm, with cross

section area reduction 33.47%. The last 2 steps, were carried out with the same manner to the 5<sup>th</sup> finishing step resulting in 27 mm round diameter bars at a final temperature 890 °C.

It was difficult to accept unrealistic allotropic bainite transformation temperature range (Bs and Bf) provided by a software package for the current steel alloy [4]. A controlled cooling curve was constructed by a thermo-mechanical simulator (Gleeble 3800) with 5 °C/sec average cooling rate as stated in Fig. 5, for exploration and detection of the bainite transformation range.

Fig. 6 demonstrates the dilation curve, which contains deflections confirming bainite transformation between 380 °C and 460 °C.

Consequently, a heat treatment cycle was constructed for bainite as well as martensite generation by double quenching technique. Fig. 7 demonstrates the double quenching technique by heating to 930 °C for austenitizing and holding for 15 min. The austenitized samples are then quenched in a salt bath at 400 °C and held for 15 min for bainite formation, and followed by quenching in either oil or water for martensite formation from the rest of austenite.

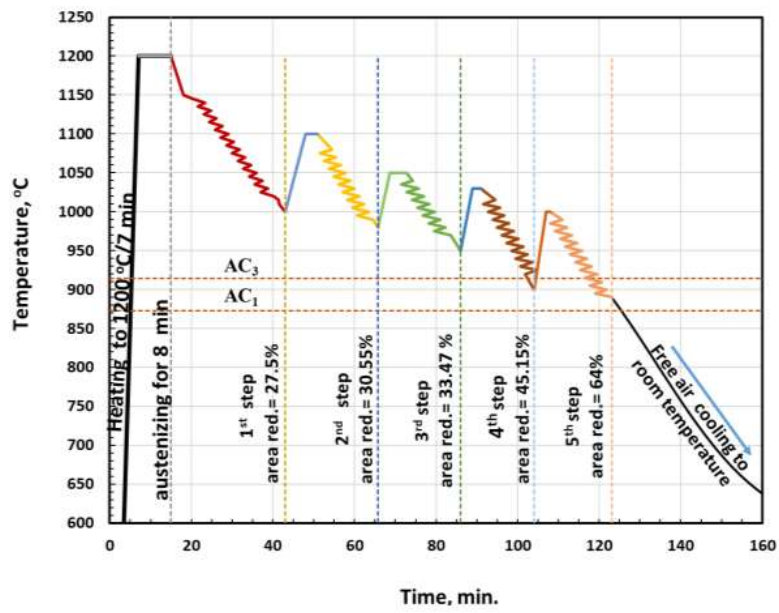


Fig. 4- Forging temperature and cross-sectional area reduction for each of the 5 steps hot deformation.

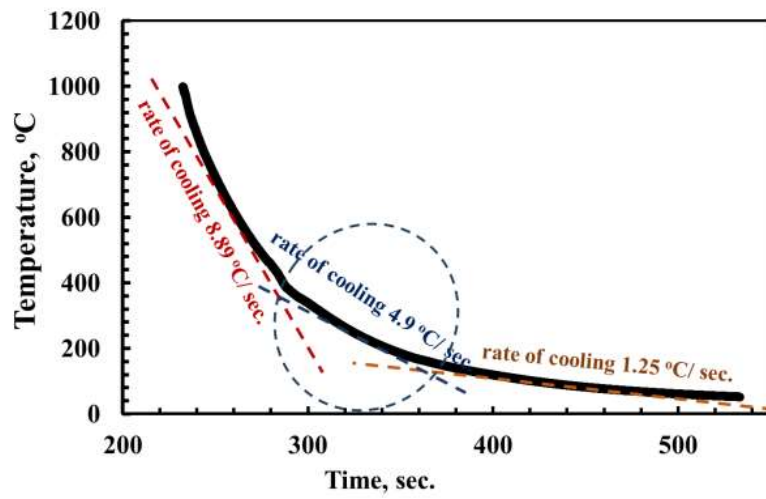


Fig. 5- A controlled cooling curve constructed with average cooling rate 5 °C/sec.

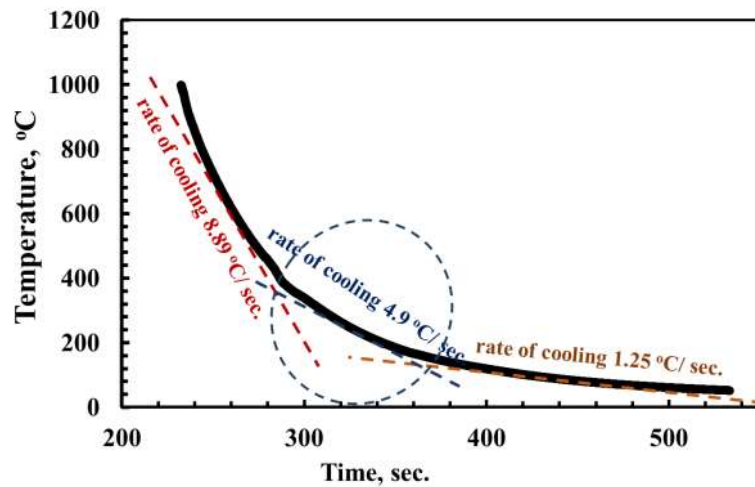


Fig. 6- Dilation curve, containing deflections confirming bainite transformation range between 380 and 460 °C.

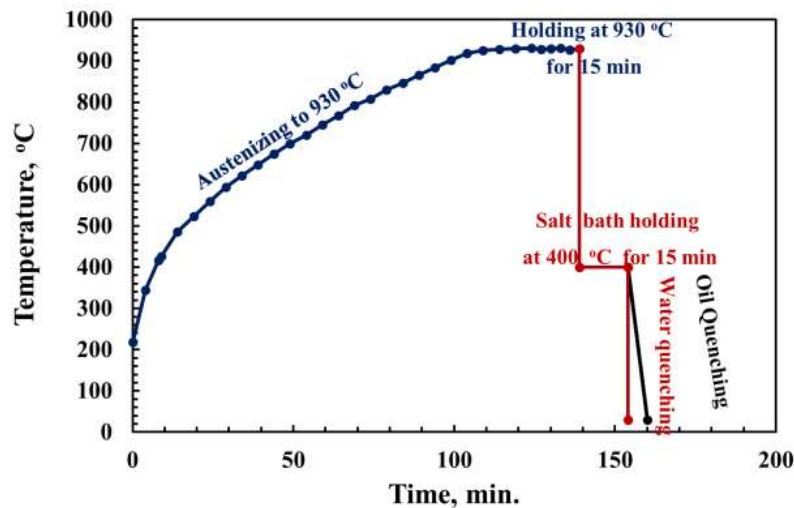


Fig. 7- Heat treatment cycle demonstrating austenitising at 930 °C followed by salt bath 1<sup>st</sup> quenching at 400 °C for 15 min and then 2<sup>nd</sup> quenching either in oil or in water.

Further investigation of microstructure was carried on the double quenching samples. A scanning electron microscope (SEM) was additionally used for high magnification and EDX qualitative analysis.

### 3. Results and discussion

Fig. 8 demonstrates amount of phase transformations by continuous heating. The Figure confirms existence of both rich Cr-carbides as  $\text{Cr}_{23}\text{C}_6$  up to 960 °C and rich Mo-carbides as  $\text{Mo}_2\text{C}$  up to 1000 °C [4,14].

Forged microstructure at magnification 4000X

by the SEM is presented in Fig. 9. It emphasizes that the matrix composes mainly of ferrite grains as a result of air cooling after forging [9]. The ferrite matrix grains are enveloped by a thin carbide layer.

Fig 10 presents cooling after forging, where the steel undergoes to the critical transformation from  $\gamma$ - phase to the  $\gamma+\alpha$  bi-phases with precipitated scattered carbides [4, 9]. Some of the carbides are precipitated over  $\alpha$ -grain surface (A), while other carbides are trapped between the  $\alpha$ -grain boundaries, showing sizes variation between 600 nm and 1.16  $\mu\text{m}$  as presented in (B).

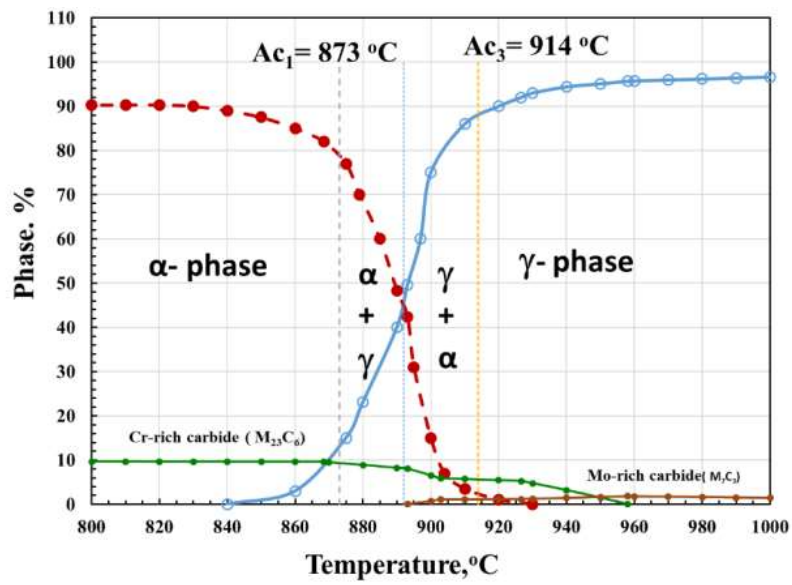


Fig. 8- amount of phase changes and existence of stable Cr- and Mo-carbides by continuous heating.

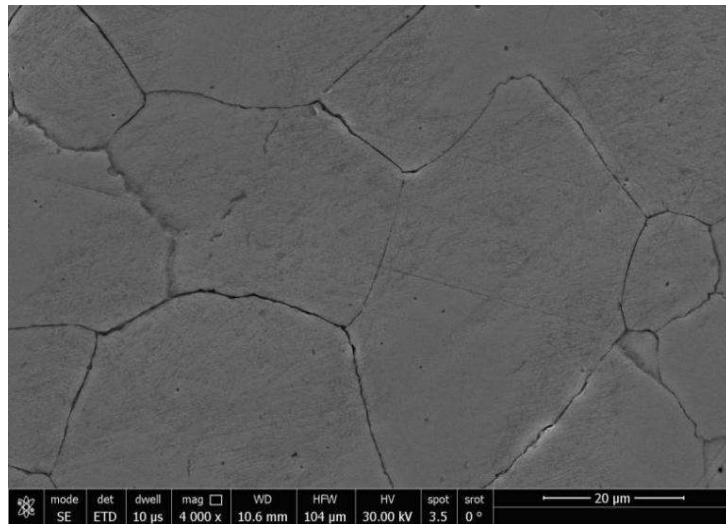


Fig. 9- Ferrite matrix grains enveloped by a thin carbide layer after 5 steps multi-directional hot forging.

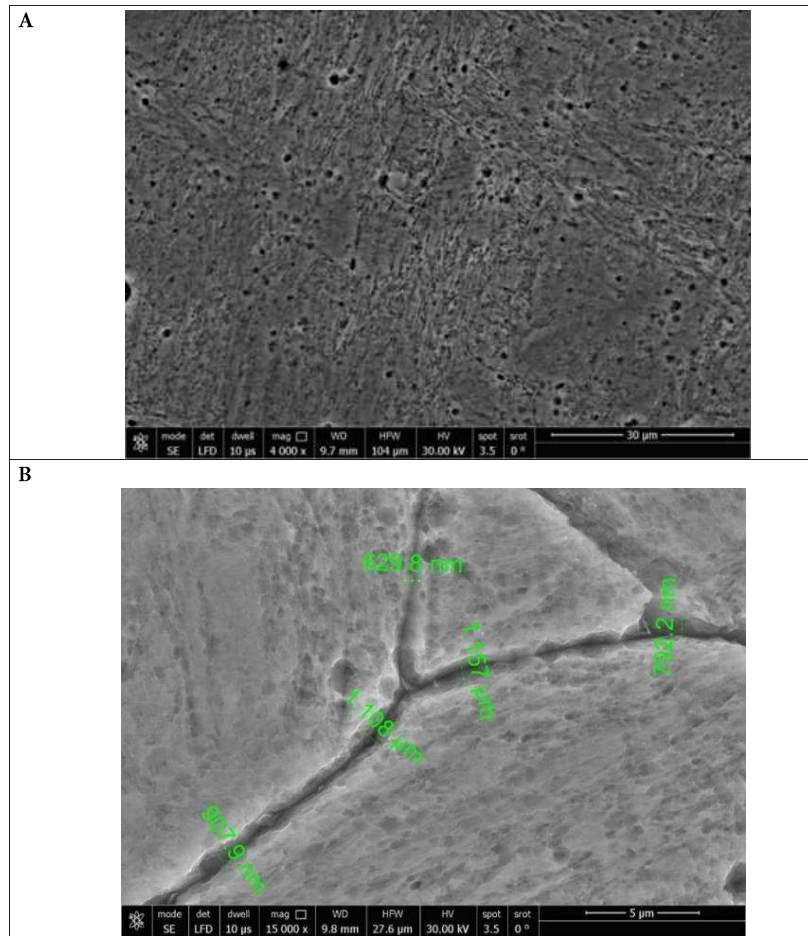


Fig. 10- Microstructure showing carbide precipitates during cooling after forging.  
A- Carbides precipitated over  $\alpha$ -grain surface, B - Carbides trapped between the  $\alpha$ -grain boundaries.

The trapped carbides have a friable nature that facilitating to get away of the surface. These carbides are mainly Cr-Mo carbides [4], which are confirmed by the energy dispersive x-ray (EDX) qualitative an analysis at Fig. 11. It is found that some of the  $\gamma$ - grains, on cooling, are transformed to early martensite colonies (aggregates). Selected points on the surface of the steel alloy after forging was qualitative analyzed by the Energy dispersive x-ray (EDX) analysis. Most of precipitated carbides envelope the ferrite grains and intersect at the ferrite triple points. However, some of carbides are fine and dispersed distributing over the  $\alpha$ -grains, as presented in Fig. 11.

Fig. 12 demonstrates numerous measurements which are taken on different grain diameters of the forged microstructure (A). A statistical assessment of grain size distribution of the ferrite matrix is presented in (B). The average measured

grain size was calculated as 32  $\mu\text{m}$  with a Standard deviation 12.11.

Z-parameter, for each forging step, is numerically calculated, by following equation [15]:

$$Z\text{-parameter} = \varepsilon \cdot e^{Q/RT} \quad (1)$$

Where;

$\varepsilon$ : strain rate,  $\text{s}^{-1}$ .

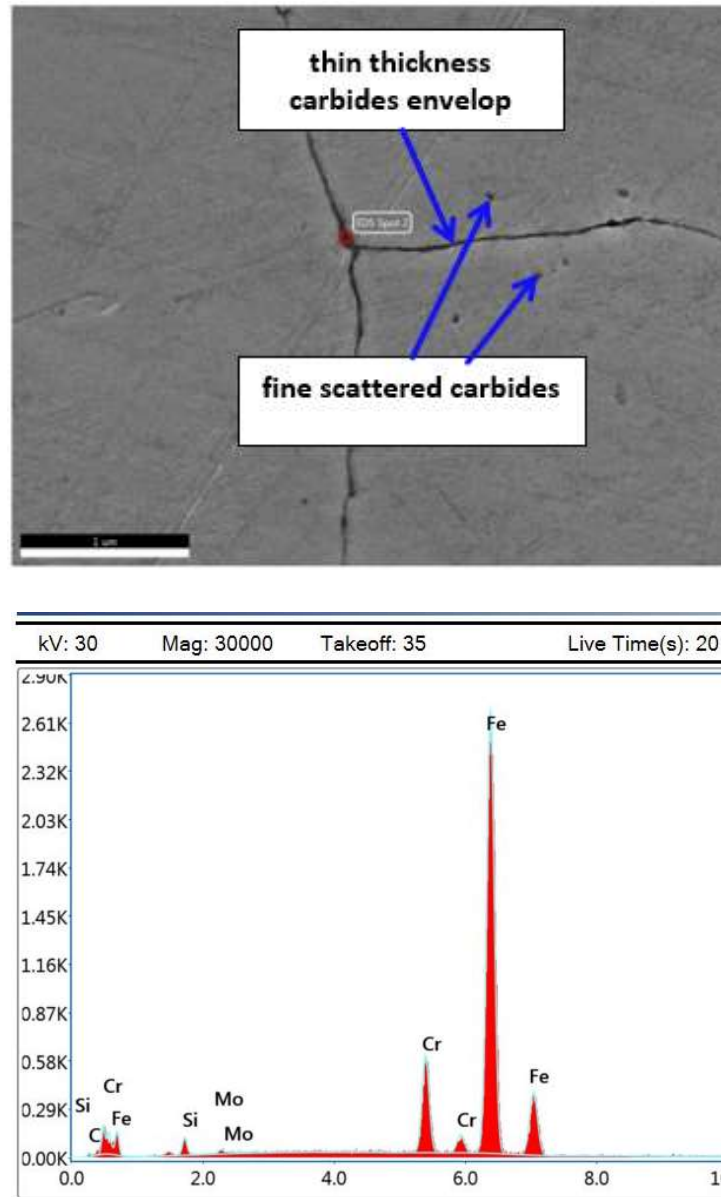
$Q$ : activation energy for deformation and equals 366.105 kJ/mol [16,17].

$R$ : universal gas constant and equals 8.314 J/mol.K

$T$ : absolute temperature, K

Consequently, the numerical calculation of austenite grain size ( $D_A$ ) is then calculated as;  $D_A = 319.81202 - 13.6114 \ln Z + 0.15322(\ln Z)^2, \mu\text{m}$  [18].

Different forging parameters are then tabulated in table I.



#### Smart Quant Results

| Element | Weight % | Atomic % | Error % |
|---------|----------|----------|---------|
| C K     | 2.3      | 9.86     | 21.57   |
| SiK     | 2.16     | 3.95     | 13.7    |
| CrK     | 12.07    | 11.95    | 3.32    |
| FeK     | 76.46    | 70.48    | 1.96    |
| MoK     | 7        | 3.76     | 41.12   |

Fig. 11- EDX qualitative analysis of thin layered Cr and Mo carbides enveloping around the ferrite grains and fine scattered carbides spreading over the ferrite grains.

Table. 1- Different parameters calculated for 5 steps forging cycle

| Pass # | Initial cross-section area, mm <sup>2</sup> | Cross-section area after pass, mm <sup>2</sup> | Strain, ( $\epsilon$ ) % | Deformation speed mm/ sec | Strain rate ( $\dot{\epsilon}$ ), s <sup>-1</sup> | Activation energy (Q), KJ/ mol | Forging temp., K | Ln- Z | Calculated $\gamma$ -grain size, $\mu\text{m}$ |
|--------|---------------------------------------------|------------------------------------------------|--------------------------|---------------------------|---------------------------------------------------|--------------------------------|------------------|-------|------------------------------------------------|
| 1      | 8654.6                                      | 6272                                           | 27.53                    | 300                       | 6.15                                              | 366.105                        | 1348             | 34.47 | 32.69                                          |
| 2      | 6272                                        | 4356                                           | 30.55                    | 300                       | 6.85                                              | 366.105                        | 1313             | 35.45 | 29.85                                          |
| 3      | 4356                                        | 2898                                           | 33.47                    | 300                       | 7.86                                              | 366.105                        | 1273             | 36.64 | 26.79                                          |
| 4      | 2898                                        | 1590                                           | 45.13                    | 300                       | 8.29                                              | 366.105                        | 1223             | 38.10 | 23.63                                          |
| 5      | 1590                                        | 572                                            | 64.03                    | 300                       | 9.40                                              | 366.105                        | 1213             | 38.53 | 22.84                                          |

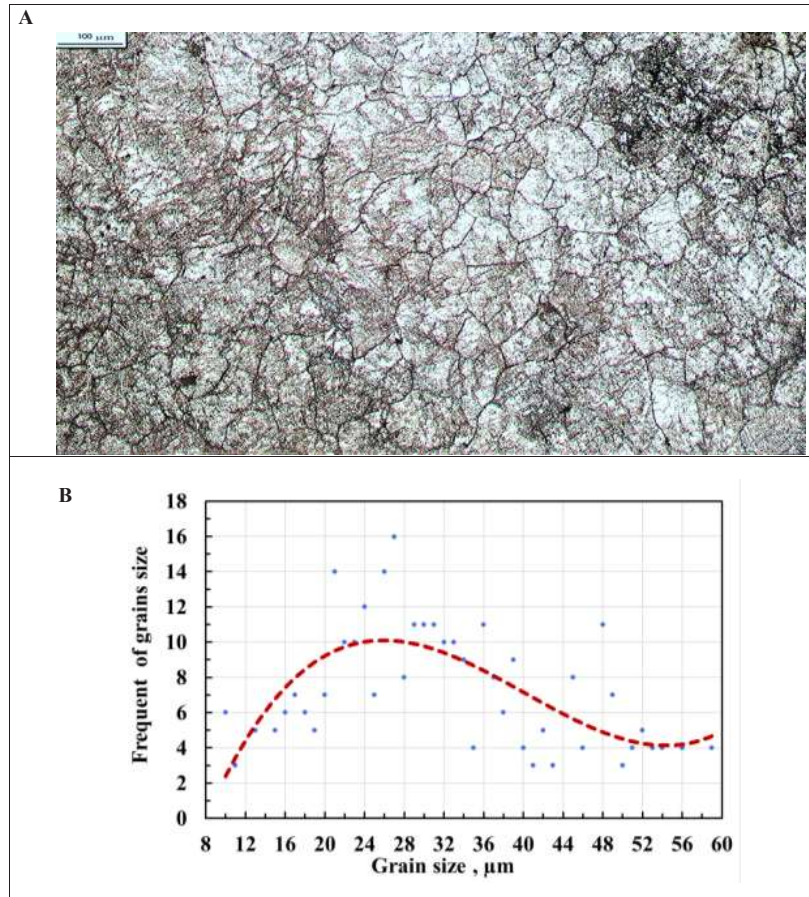


Fig. 12- Measured grain size of ferrite matrix generated after forging.  
A- Forged microstructure, B- Grain size distribution of the ferrite matrix.

It is clear that, both strain ( $\epsilon$ ) and strain rate ( $\dot{\epsilon}$ ) increase, while the deformation temperature decreases from one step to the other. The current deformation parameters are leading to a continuous increase of the Z-parameter [15], while the expected  $\gamma$ - grain size would be successively refined [18], and reaches at the final 5<sup>th</sup>-forging step to 22.84  $\mu\text{m}$ .

Fig. 13 presents the numerically calculated Ln Z-parameter and expected  $\gamma$ - grain size for the 5 successive steps forging cycle. The forging cycle is leading to a repeated  $\gamma$ - grain refinement (Table I), which would transform to a matrix of fine  $\alpha$ -grains after forging and air cooling, where the average measured grain size in Fig. 12, [18], was calculated as 32.  $\mu\text{m}$ .

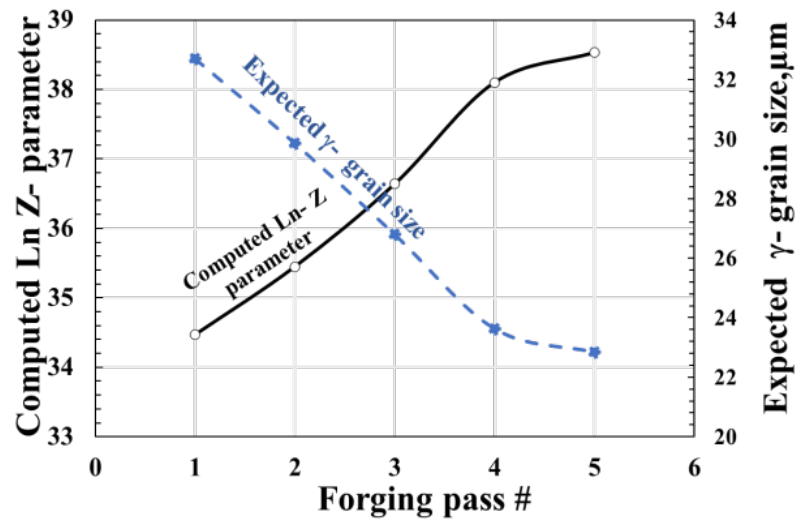


Fig. 13- value of computed Ln Z-parameter and expected  $\gamma$ - grain size for 5 successive steps forging cycle.

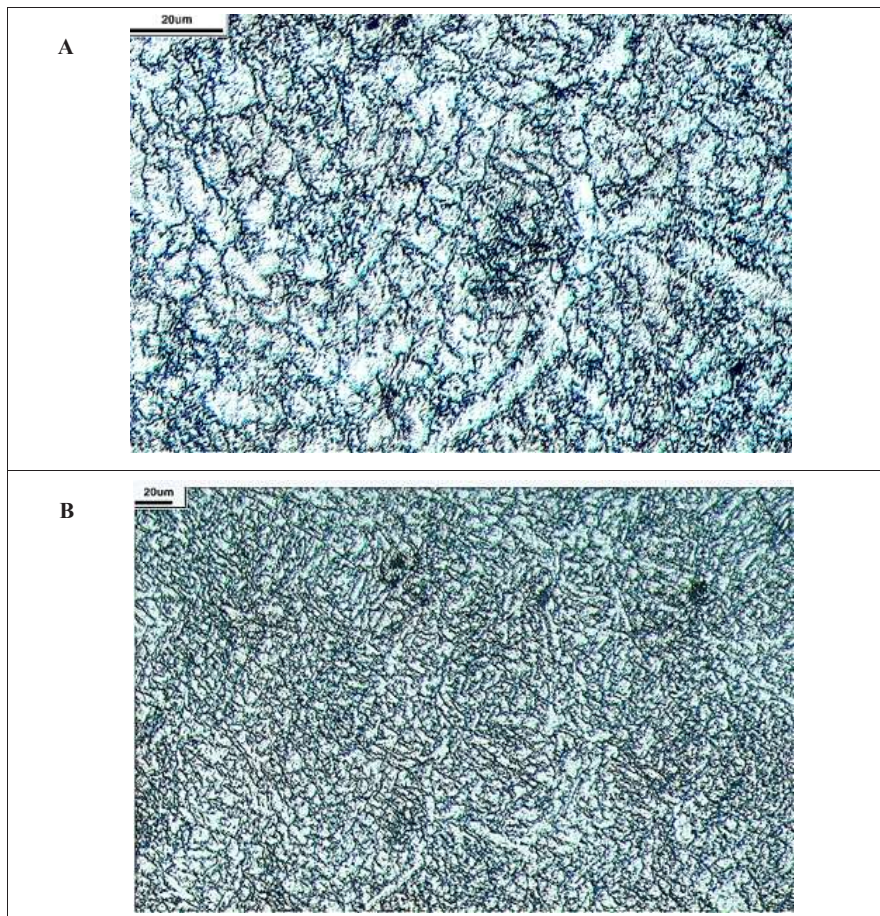


Fig. 14- Microstructure generated after a salt bath holding at 400 °C, followed by 2<sup>nd</sup> quenching either in oil (A) or in water (B).

Fig. 14 demonstrates the microstructure of both 2<sup>nd</sup> quenching in oil (A) and 2<sup>nd</sup> quenching in water (B) after salt bath 1<sup>st</sup> quenching at 400 °C. A ferrite matrix is more clearly presented in the oil quenching microstructure than that at the water quenching, due to lower cooling rate of oil rather than of water. Both of the 2<sup>nd</sup> quenching processes generate hard phases between the  $\alpha$ -grains in the matrix. In case of oil 2<sup>nd</sup> quenching, a bainite phase is mostly generated, while martensite is favorably created by water 2<sup>nd</sup> quenching, where a higher cooling rate was prevailing.

For a more conformation, the scanning electron

microscope (SEM) is extensively used for high magnifications and EDX qualitative analysis. Fig.15 presents generated microstructure after a 2<sup>nd</sup> quenching in oil bath at magnifications 8000X & 30000X respectively. 2<sup>nd</sup> quenching in oil after the salt bath holding generates bainite islands interspersing across freckled ferrite matrix with scattered Cr-Mo carbides.

Fig. 16 presents EDX qualitative chemical analysis of a bainite island generated by 2<sup>nd</sup> quenching in oil. The generated bainite phase contains mostly low carbon, which would be reflected positively on the ductility and toughness of the treated alloy.

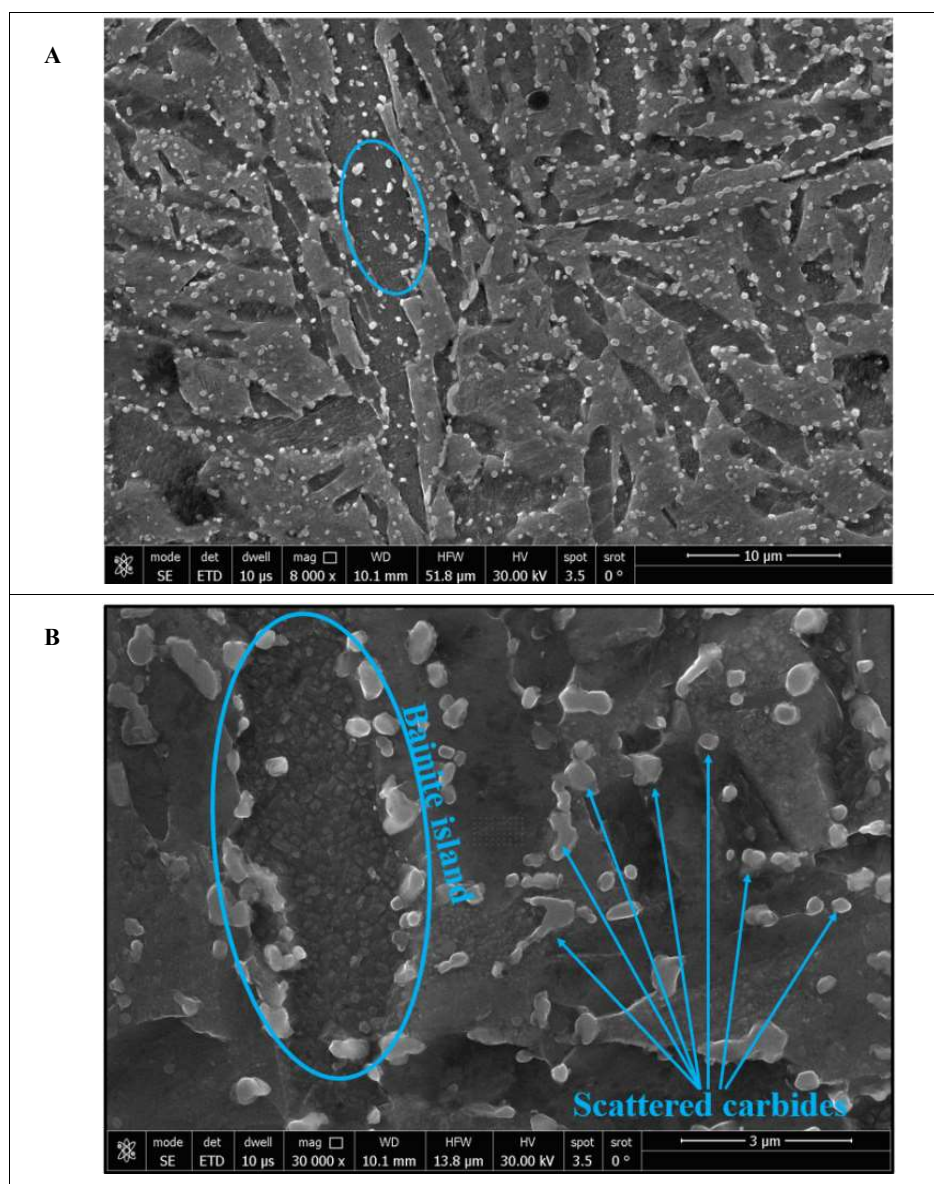
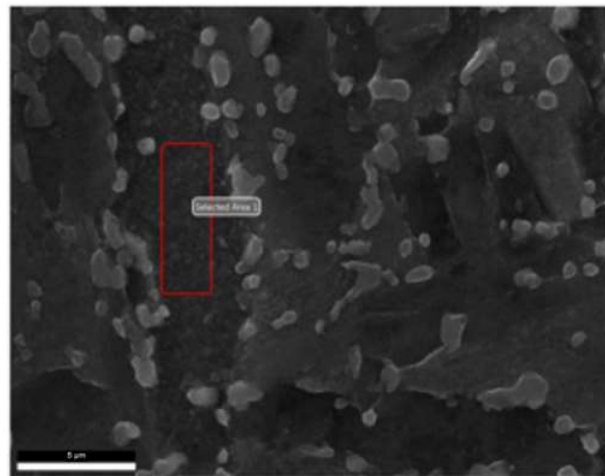
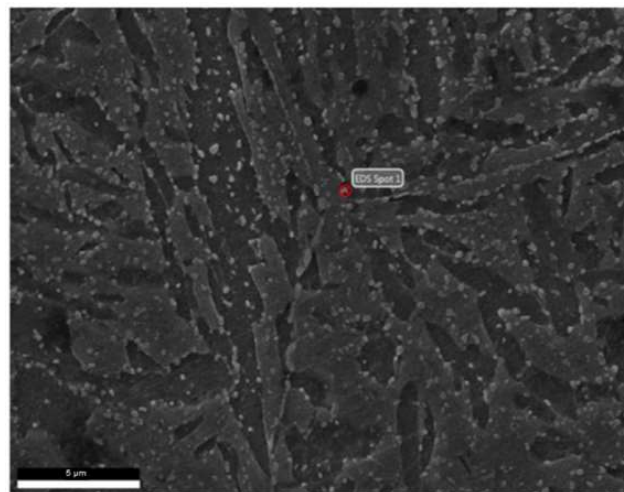


Fig. 15- Microstructure generated by a 2<sup>nd</sup> quenching in oil, containing bainite islands interspersing across freckled ferrite.



| Element | Weight % | Atomic % | Error % |
|---------|----------|----------|---------|
| C K     | 0.71     | 3.2      | 81.9    |
| SiK     | 2.76     | 5.3      | 13.55   |
| CrK     | 10.61    | 11       | 3.59    |
| MnK     | 0.76     | 0.75     | 26.57   |
| FeK     | 79.07    | 76.33    | 1.85    |
| MoK     | 6.09     | 3.42     | 38.51   |

Fig. 16- EDX qualitative chemical analysis of a bainite aggregate generated by 2<sup>nd</sup> quenching in oil.



| Element | Weight % | Atomic % | Error % |
|---------|----------|----------|---------|
| C K     | 3.38     | 14.02    | 21.21   |
| SiK     | 2.42     | 4.29     | 14.02   |
| CrK     | 13.27    | 12.72    | 3.24    |
| MnK     | 0.9      | 0.81     | 22.09   |
| FeK     | 71.24    | 63.59    | 2.01    |
| MoK     | 8.79     | 4.57     | 28.77   |

Fig. 17- EDX qualitative chemical analysis of scattered Cr-Mo-carbides generated by 2<sup>nd</sup> quenching in oil.

On the other hand, Fig. 17 presents the EDX analysis of scattered Cr-Mo carbides, where the analysis contains high amounts of carbon, chromium and molybdenum confirming that the scattered dots are Cr, Mo-carbides as they are the most stable carbides at the working temperature range [4, 6]. The formation of  $M_{23}C_6$  or  $M_6C$  carbides are controlled by relative contents of Cr and Mo in the carbide [5].

The previously bainitic aggregates generated at 400°C are usually accompanied by retained austenite

films [19]. Retained austenite, is originating from the residual austenite, which is not transformed into bainite at the end of the isothermal 400 °C holding [13]. 2<sup>nd</sup> quenching in water generates late martensite as a result of retained-austenite transformation [20]. Fig. 18 demonstrates widespread carbides existing between the ferrite matrix grains (A) and (micrograph B) presents the transformed platelet late martensite at a higher magnification (X 30000), which is generated at the temperature which reaches to  $M_s$  [20].

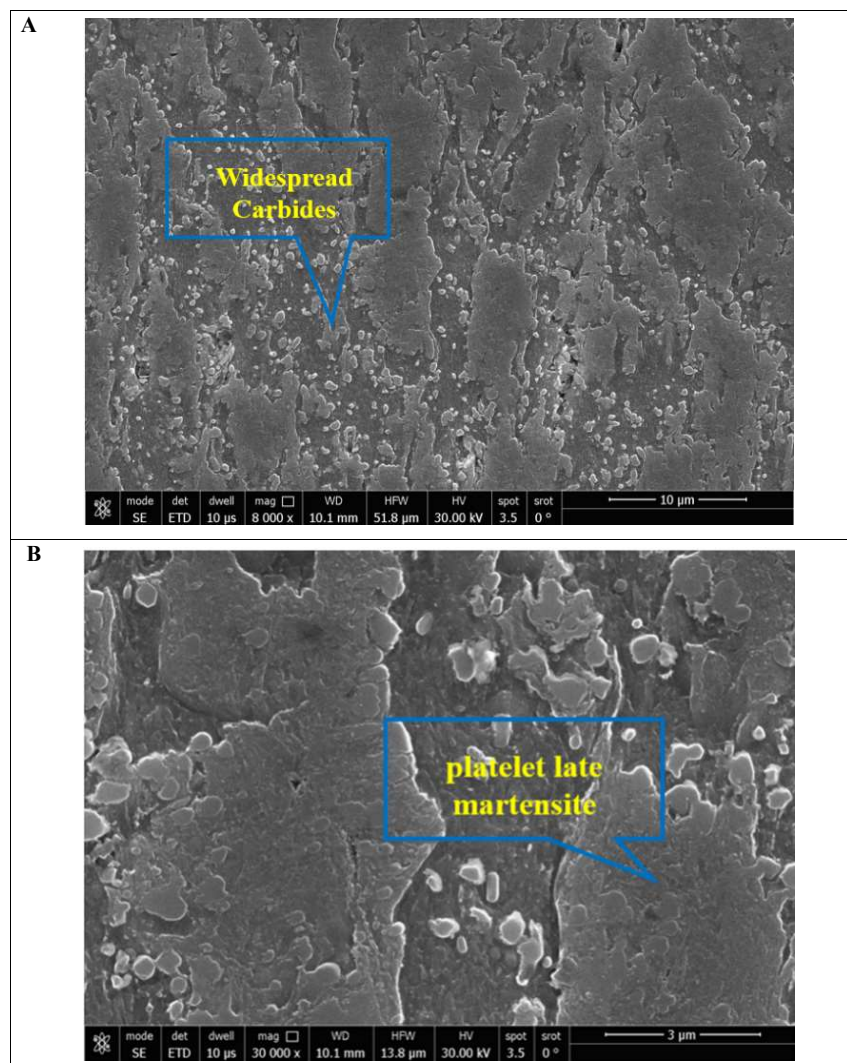


Fig. 18- Widespread carbides (A) that existing between the ferrite matrix grains integrated with transformed platelet late martensite (B).

#### 4. Conclusion

The article is concerning with the development of valve steel alloy containing a blend of hard phases of bainite and mertensite due to processing with double fold cooling. Furthermore the structure contains excessive Cr-Mo stable carbides. It is concluded that;

1. Up to 1000 °C, the as-cast alloy structure contains both rich Cr-carbides as ( $\text{Cr}_{23}\text{C}_6$ ) and rich Mo-carbides as ( $\text{Mo}_2\text{C}$ ).
2. Forged microstructure composes mainly of ferrite, which are enveloped by a thin carbide layer.
3. On cooling after forging,  $\gamma$ - phase transforms to  $\gamma+\alpha$  bi-phase region with precipitated Cr-Mo carbides as thin layered enveloping the ferrite grains and intersecting at the grains triple points with some other fine scattered carbides over the  $\alpha$ -grains.
4. Both of the oil and water 2<sup>nd</sup> quenching processes generate hard phases integrating with the  $\alpha$ -grains in the matrix. A low carbon - bainite phase islands accompanied by retained austenite films is mostly generated by oil 2<sup>nd</sup> quenching, while platelet late martensite is favorably created by water 2<sup>nd</sup> quenching.

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